



PLEDGE OF UNITS FORM

Information about the Principal Account Holder
(FULL NAME(s) AND ADDRESS IN BLOCK LETTERS)

Date _____

INFORMATION ABOUT THE PRINCIPAL ACCOUNT HOLDER / INVESTOR

Title of Account:

Registration Number:

REQUEST FOR PLEDGING OF UNITS

Name of Fund:

I / We own the Units/Certificate(s) held under the above Registration Number and Account Name and request you to issue a Statement confirming the registration of the said units in favor of

Having (NIC * / Reg No.) _____

BANK ACCOUNT DETAILS OF THE PLEDGE

Account Title

Account No.

Bank Name & Branch

Bank Address

Pledge Authorization**

Name / Designation

Name / Designation

Signature

Signature

DETAILS OF UNITS TO BE PLEDGED

Number of Units

Form of Units:

Physical Certificates attached - if issued please mention the number of required certificate(s): _____

Account Balance Statement Only

Specific account in favor of Pledgee will be created for this purpose within Unit Holder Register and the Statement will be issued for the same.

DECLARATION & AUTHORIZATION

In making this request, I / We recognize and understand that:

- ✍ The registration of this pledge places a responsibility on the Management Company to ensure that all benefits accruing on such units (hereinafter referred to as the pledged units) shall be held or paid to the order of the Pledgee.
- ✍ Save any legal bar or court order requiring otherwise, any dividends that are declared on the pledged units shall be paid to the order of the Pledgee or first named Joint Pledgee, any bonus units that the pledged Units are entitled to, automatically be marked in favor of Pledgee and in the event the pledged units are redeemed by the Pledgee for any reason whatsoever, the proceeds shall be paid to the order of the Pledgee.
- ✍ The Management Company does not however, accept any responsibility for the validity of my/our act of pledging of units nor for any obligation or commitments undertaken by me/us in respect thereof.
- ✍ The pledge shall continue till such time it is released by the Pledgee in writing.

Name _____

Signature _____

Note: In case of Institutional Investor please affix Company stamp.

TO BE FILLED IN BY THE APPLICANT'S BANKER IN CASE THE APPLICANT IS UNABLE TO SIGN THE FORM

I, _____ Manager of _____ (the "Bank") certify that to the best of my knowledge and belief, the declaration of or on behalf of the applicant given in this Form is correct.

Manager's Signature & Bank stamp

Note: The contents of this Form and the Guidelines attached hereto, were read over and explained to the Applicant(s) in urdu/native language and he/she/they appeared to have fully understood the same.

FOR OFFICIAL USE ONLY

FACILITATOR INFORMATION

Facilitator	Facilitator Code	Remarks / Instructions	Signature
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FOR DISTRIBUTOR / SALES REPRESENTATIVE

Distributor	Distributor Code	Form Received on	Data and Attachments Verified	Authorized Signature & Stamp
			☐ Yes ☐ No	

Remarks

FOR REGISTRAR USE

Pledge Form Received on	Pledge Marked on	Data and Attachments Verified	Authorized Signature & Stamp
		☐ Yes ☐ No	

* Copy of NIC of Pledge is required.

** Where the Pledge is an Institution with whom units are pledged, it will have to submit an undertaking signed by its Authorized Signatories that it is legally authorised to lend money in favour of the pledge (Unit Holder). Pledgee's Board Resolution is required, authorizing the arrangement of borrowing and simultaneously authorizing the Authorized signatories to operate the account within the Units Holders Register.